

# KONRAD RAYNES & VICTOR, LLP

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## FAX COVER SHEET

APR 06 2005

### PLEASE DELIVER THIS FACSIMILE TO EXAMINER KELVIN Y. LIN

TO: Commissioner for Patents  
Attn: Examiner Kelvin Y. Lin  
Group Art Unit 2142  
Patent Examining Corps  
Facsimile Center  
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FROM: David W. Victor

OUR REF: 0037.0102  
TELEPHONE: 310-556-7983

Total pages, including cover letter: 11

PTO FAX NUMBER 1-703-872-9306

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Description of Documents Transmitted: PTO/SB/21 TRANSMITTAL FORM; POWER  
OF ATTORNEY AND CORRESPONDENCE ADDRESS INDICATION FORM;  
STATEMENT UNDER 37 CFR 3.73(B); COPY OF RECORDATION OF  
ASSIGNMENT

Applicant: R.M. Li et al.  
Serial No.: 09/972,542  
Filed: October 5, 2001  
Group Art Unit: 2142  
Docket No.: SIO920010095US1

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on  
April 6, 2005

By:   
Name: David W. Victor

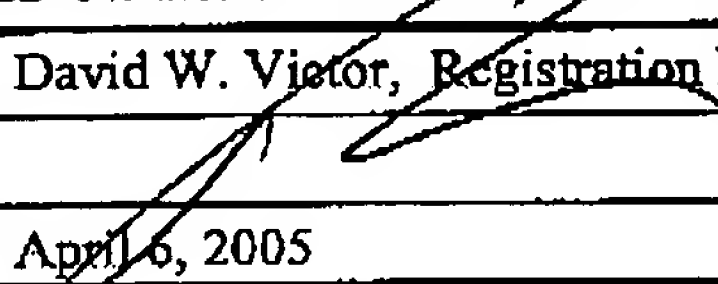
PTO/SB/21  
0037.0102

<b>TRANSMITTAL FORM</b>	Application Number	09/972,542
<i>(To be used for all correspondence after initial filing)</i>	Filing Date	October 5, 2001
	Inventor	R.M. Li et al.
	Group Art Unit	2142
	Examiner Name	Kelvin Y. Lin
Total Number of Pages in this Submission: 10	Attorney Docket Number	SJO920010095US1

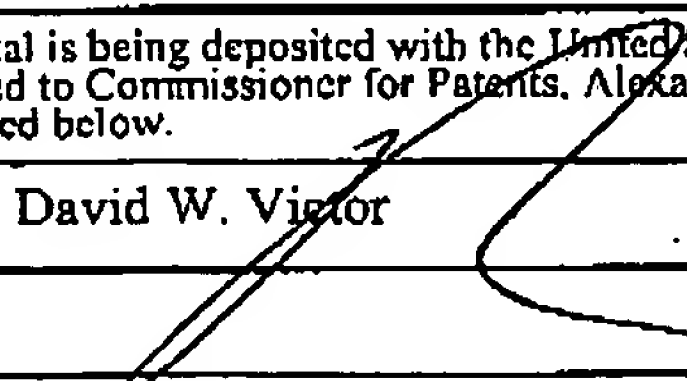
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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name:	David W. Victor, Registration No. 39,867
Signature:	
Date:	April 6, 2005
KONRAD RAYNES & VICTOR, LLP 315 South Beverly Drive, Suite 210 Beverly Hills, California 90212 (310) 556-7983	
<input checked="" type="checkbox"/> The Commissioner is authorized to charge any deficiency of fees, or credit any overpayment, to Deposit Account No. 09-0466	

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s):	R.M. Li et al.	Examiner	Kelvin Y. Lin
Serial No.	09/972,542	Group Art Unit	2142
Filed	October 5, 2001	Docket No.	SJO920010095US1
TITLE	STORAGE AREA NETWORK METHODS AND APPARATUS WITH COORDINATED UPDATING OF TOPOLOGY REPRESENTATION		

**POWER OF ATTORNEY AND  
CORRESPONDENCE ADDRESS INDICATION FORM**

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint the Practitioners associated with the Customer Number:

**46917**

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to the address associated with the above-mentioned Customer Number.

I am the:


☐

Applicant/Inventor

☒

Assignee of record of the entire interest. (Statement under 37 CFR 3.73(b) is enclosed)

**SIGNATURE of Applicant or Assignee of Record**

Signature:		Date:	4-5-05
Name	Lynne D. Anderson	Title	Program Manager - U.S. Patent and Trademark Office Liaison
Company	International Business Machines Corporation		
Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.			
<input checked="" type="checkbox"/> *Total of <u>1</u> form is submitted.			

**STATEMENT UNDER 37 CFR 3.73(b)**

APR 06 2005

Applicant/Patent Owner: International Business Machines CorporationApplication No./Patent No.: 09/972,542Filed/Issue Date: October 5, 2001Entitled: STORAGE AREA NETWORK METHODS AND APPARATUS WITH COORDINATED UPDATING OF TOPOLOGY REPRESENTATIONInternational Business Machines CorporationCorporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

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2. ☐ an assignee of less than the entire right, title and interest.  
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in the patent application/patent identified above by virtue of either:

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- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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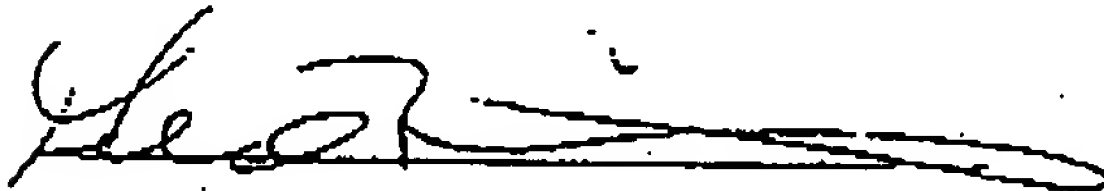
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature:		Date:	4-5-05
Name	Lynne D. Anderson	Telephone Number	703-299-1455
Title	Program Manager - U.S. Patent and Trademark Office Liaison		

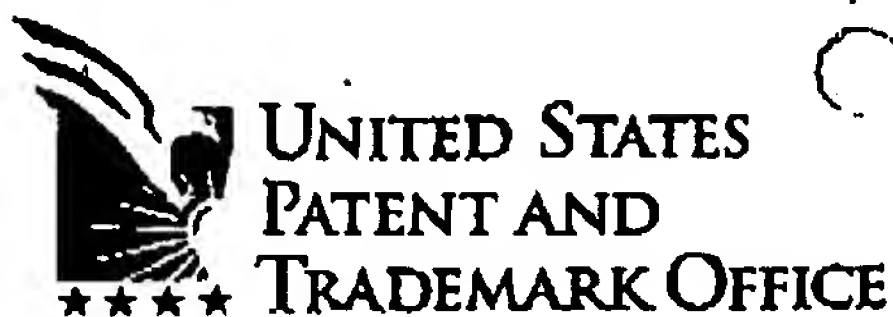
## LETTER OF AUTHORITY

I, Gerald Rosenthal, Vice President, Intellectual Property and Licensing of International Business Machines Corporation (IBM), a New York corporation, do hereby delegate the authority to approve and execute documents on behalf of IBM relating to proceedings in the Patent, Trademark Registration or Copyright Offices servicing any country or region of the world, or to related appeal proceedings, including, but not limited to: petitions; powers of Attorney; authorizations; verification; nominations of representatives; declarations; documents relating to maintenance and defense of the resulting industrial property rights; assignments of rights to apply for and acquire patents and trademark registrations; and evidence of such assignments; requests for the registration of patents as available for licensing; reports of inventions and petitions for waiver of patent rights to any department or agency of the United States Government; and, assignments, licenses and other instruments confirmatory of Government rights in patents and inventions, to Lynne D. Anderson, Program Manager, Patent Office Liaison.

Date: April 6, 2004



Gerald Rosenthal  
Vice President, Intellectual Property & Licensing  
International Business Machines Corporation



MAY 30, 2002

PTAS

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DAVID J. POWSNER  
ONE INTERNATIONAL PLACE  
BOSTON, MA 02110-2699

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RECORDATION DATE: 03/18/2002

REEL/FRAME: 012744/0223

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LI, RAYMOND M.

DOC DATE: 12/13/2001

ASSIGNOR:

YONKER, WILLIAM ROY

DOC DATE: 12/17/2001

ASSIGNEE:

INTERNATIONAL BUSINESS MACHINES  
CORPORATIONNEW ORCHARD ROAD  
ARMONK, NEW YORK 10504


SERIAL NUMBER: 09972542

FILING DATE: 10/05/2001

PATENT NUMBER:

ISSUE DATE:

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ASSIGNMENT DIVISION  
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<div style="display: flex; justify-content: space-between;"><div>FORM PTO-1619A <small>Expires 06/30/99 OMB 0651-0027</small></div><div>04-05-2002  102045674</div><div>OFFICE OF PUBLIC RECORDS U.S. Department of Commerce Patent and Trademark Office <b>PATENT</b> 2002 MAR 18 AM 10:35</div></div> <div style="text-align: center; margin-top: 10px;">FINANCE SECTION <b>RECORDATION FORM COVER SHEET PATENTS ONLY</b></div>	
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<b>Correspondent Name and Address.</b>			<b>Area Code and Telephone Number</b> <span style="border: 1px solid black; padding: 2px;">617-439-2717</span>								
Name <span style="border: 1px solid black; padding: 2px;">David J. Powsner</span>											
Address (line 1) <span style="border: 1px solid black; padding: 2px;">Nutter, McClennen &amp; Fish,</span>											
Address (line 2) <span style="border: 1px solid black; padding: 2px;">One International Place</span>											
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Patent Application Number(s)			Patent Number(s)								
<span style="border: 1px solid black; padding: 2px;">09972542</span>	<span style="border: 1px solid black; padding: 2px;"></span>	<span style="border: 1px solid black; padding: 2px;"></span>	<span style="border: 1px solid black; padding: 2px;"></span>	<span style="border: 1px solid black; padding: 2px;"></span>	<span style="border: 1px solid black; padding: 2px;"></span>						
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## ASSIGNMENT

Whereas, we

(1) Raymond M. Li of 1565 Madison St. Apt. 314, Oakland,  
County of Alameda and State of California,  
and (2) William Roy Yonker of 11235 11th Avenue N.E., Rochester,  
County of Olmsted and State of Minnesota,

have invented certain improvements in

STORAGE AREA NETWORK METHODS AND APPARATUS WITH COORDINATED UPDATING OF  
TOPOLOGY REPRESENTATION

and executed, respectively, a United States patent application therefor on

(1) \_\_\_\_\_, 2001, (2) Dec. 17, 2001

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a  
place of business at Armonk, New York 10504, (hereinafter called IBM), desired to acquire the entire right, title and  
interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby  
sell, assign, and transfer to IBM, its successors and assigned, the entire right, title and interest in the said application  
and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from  
the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent  
granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that  
IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection  
with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

(1) at \_\_\_\_\_  
on \_\_\_\_\_, 2001,  
(2) at Rochester, MN  
on 12/17, 2001,

Raymond M. Li

William Roy Yonker

William Roy Yonker

Signature

Inventor

Signature

Inventor

U.S. EXPRESS MAIL LABEL NO.: EL 835 825 699 US

DOCKET: SJ09-2001-0095

## ASSIGNMENT

Whereas, we

(1) Raymond M. Li of 1565 Madison St. Apt. 314, Oakland;  
County of Alameda and State of California,  
and (2) William Roy Yonker of 11235 11th Avenue N.E., Rochester,  
County of Olmsted and State of Minnesota,

have invented certain improvements in

STORAGE AREA NETWORK METHODS AND APPARATUS WITH COORDINATED UPDATING OF  
TOPOLOGY REPRESENTATION

and executed, respectively, a United States patent application therefor on

(1) Dec. 13, 2001, (2) \_\_\_\_\_, 2001

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desired to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigned, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

(1) at Oakland, CA  
on 12/13, 2001,  
(2) at \_\_\_\_\_  
on \_\_\_\_\_, 2001,

  
Raymond M. Li

Signature

Inventor

Signature

Inventor

William Roy Yonker

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Li et al.

Examiner:

Serial No. 09/972,542

Art Unit:

Filing Date: October 5, 2001

for STORAGE AREA NETWORK METHODS  
AND APPARATUS WITH COORDINATED  
UPDATING OF TOPOLOGY  
REPRESENTATION

Attorney Docket: SJ09-2001-0095

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Date: 3/8/02

David J. Powsner, Reg. No. 31,868

ATTORNEYS OF APPLICANTS  
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Telefax: 617-310-9717